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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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EXAMINER

VU, THANH T

ART UNIT

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2175

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 09/636,102	Applicant(s) RAMOS ET AL.	
	Examiner THANH T. VU	Art Unit 2175	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 22 June 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 2, 5-7 and 9-20 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 2, 5-7 and 9-20 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

This communication is responsive to Amendment, filed 06/22/2007.

Claims 2, 5-7 and 9-20 are pending in this application. In the Amendment, claims 1, 3-4, 8 were cancelled, and claims 22, 5, 7, 9, and 10 were amended.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claim 2, 5-7, 9-10 and 14-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Houser et al. ("Houser", U.S. Pat. No. 5,606,609) and Gross et al. ("Gross", U.S. Pat. No. 4,972,471).

Per claim 2, Houser teaches a file browser system comprising:

a memory, a file browser readable from the memory for displaying in a user interface a representation of media object files stored in memory (fig. 4B; col. 11, lines 11-34); and a file browser extension readable from the memory for decoding an object identifier from a selected media object file (fig. 8; decryptor 820; col. 15, lines 19-20 and lines 61-67) and for displaying in an extension of the user interface metadata or an action associated with the media object file via the object identifier (col. 16, lines 34-50; col. 17, lines 5-12) wherein the object identifier is decoded from a watermark embedded in the selected media object file (col. 4, lines 3-10; col. 7, lines 30-43; col. 15, lines 19-20 and lines 61-67; The examiner considers a watermark as a security object being embedded in a electronic document, see col. 7, lines 30-43

and col. 12, lines 30-35). Houser does not specifically teach the object identifier is decoded from a watermark embedded in perceptible attributes of an image or audio signal in the selected media object file, the perceptible attributes including imperceptible modification that encode the object identifier in the image or audio signal. However, Gross teaches the object identifier is decoded from a watermark embedded in perceptible attributes of an image or audio signal in the selected media object file, the perceptible attributes including imperceptible modification that encode the object identifier in the image or audio signal (col. 1, lines 45-58). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to include the teaching of Gross in the invention of Houser in order to provide the user with a system that can deter or prevent unauthorized copying of digital documents.

Per claim 5, Houser teaches a file browser system comprising:

a memory, a file browser readable from the memory for displaying in a user interface a representation of media object files stored in memory (fig. 4B; col. 11, lines 11-34); and

a file browser extension readable from memory for decoding an object identifier from a selected media object file and (fig. 8; decryptor 820; col. 15, lines 19-20 and lines 61-67) for displaying in an extension of the user interface metadata or an action associated with the media object file via the object identifier (col. 16, lines 34-50; col. 17, lines 5-12); wherein the file browser extension forwards the object identifier to a metadata server to retrieve metadata or an action associated with the identifier, (col. 16, lines 1-9) and displays the metadata or executes an action returned from the server (col. 16, lines 34-50; col. 17, lines 5-12). Houser does not specifically teach the object identifier is decoded from perceptible attributes of the image or audio signal yet being conveyed in the image or audio signal in a manner that does not cause

perceptible changes to the image or audio signal. However, Gross the object identifier is decoded from perceptible attributes of the image or audio signal yet being conveyed in the image or audio signal in a manner that does not cause perceptible changes to the image or audio signal (col. 1, lines 45-58). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to include the teaching of Gross in the invention of Houser in order to provide the user with a system that can deter or prevent unauthorized copying of digital documents.

Per claim 6, Houser teaches the file browser system of claim 5 wherein the file browser extension extracts and displays metadata from the media object file along with metadata returned from the metadata server (col. 16, lines 34-50 and col. 17, lines 5-12).

Per claim 7, Houser teaches a file browser system comprising: a memory, a file browser readable from memory for displaying in a user interface a representation of media object files stored in memory (fig. 4B; col. 11, lines 11-34); and

a file browser extension readable from memory for decoding an object identifier from a selected media object file (fig. 8; decryptor 820; col. 15, lines 19-20 and lines 61-67) and for displaying in an extension of the user interface metadata or an action associated with the media object file via the object identifier (col. 16, lines 34-50 and col. 17, lines 5-12); wherein the metadata or action is displayed as a URL link to information or a program associated with the selected media object file (col. 16, lines 34-50 and col. 17, lines 5-12 and lines 33-48). Houser does not specifically teach the object identifier is decoded from perceptible attributes of the image or audio signal yet being conveyed in the image or audio signal in a manner that does not cause perceptible changes to the image or audio signal. However, Gross the object identifier is decoded from perceptible attributes of the image or audio signal yet being conveyed in the image

or audio signal in a manner that does not cause perceptible changes to the image or audio signal (col. 1, lines 45-58). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to include the teaching of Gross in the invention of Houser in order to provide the user with a system that can deter or prevent unauthorized copying of digital documents.

Per claim 9, Houser teaches a file browser system comprising:

a memory, a file browser readable from memory for displaying in a user interface media object files stored in memory (fig. 4B; col. 11, lines 11-34); and

a file browser extension readable from memory for encoding an object identifier into a selected media object file (fig. 6; encryptor 620; col. 4, lines 3-10; col. 14, lines 38-50) and for displaying in an extension of the user interface one or more options for enabling a user to enter input to control the encoding of the object identifier (col. 13, lines 35-65); wherein the file browser extension comprises a watermark encoder for encoding the object identifier into the selected media object file (col. 7, lines 30-43; col. 11, lines 15-25; The examiner considers a watermark as a security object being embedded in a electronic document, see col. 7, lines 30-43 and col. 12, lines 30-35.). Houser does not specifically teach the object identifier is decoded from a watermark embedded in perceptible attributes of an image or audio signal in the selected media object file, the perceptible attributes including imperceptible modification that encode the object identifier in the image or audio signal. However, Gross the object identifier is decoded from a watermark embedded in perceptible attributes of an image or audio signal in the selected media object file, the perceptible attributes including imperceptible modification that encode the object identifier in the image or audio signal (col. 1, lines 45-58). Therefore, it would have been

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obvious to one of ordinary skill in the art at the time of the invention to include the teaching of Gross in the invention of Houser in order to provide the user with a system that can deter or prevent unauthorized copying of digital documents.

Per claim 10, Houser teaches a watermark decoder system comprising:

a memory, a host application readable from memory having a user interface for displaying a representation of media object files (fig. 4B; col. 11, lines 11-34); and

an extension to the host application, the extension readable from memory for decoding a watermark from a selected media object file (fig. 8; decryptor 820; col. 15, lines 19-20 and lines 61-67) and for displaying in an extension of the user interface metadata or an action associated with the media object file via the watermark (col. 16, lines 34-50; and col. 17, lines 5-12).

Houser does not specifically teach a object identifier is decoded from a watermark embedded in perceptible attributes of an image or audio signal in the selected media object file, the perceptible attributes including imperceptible modification that encode the object identifier in the image or audio signal. However, Gross a object identifier is decoded from a watermark embedded in perceptible attributes of an image or audio signal in the selected media object file, the perceptible attributes including imperceptible modification that encode the object identifier in the image or audio signal (col. 1, lines 45-58). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to include the teaching of Gross in the invention of Houser in order to provide the user with a system that can deter or prevent unauthorized copying of digital documents.

Per claim 14, Houser teaches a method of rendering a media object comprising:

decoding an object identifier from the media object (fig. 8; decryptor 820; col. 15, lines 19-20 and lines 61-67);

sending the object identifier to a metadata server (fig. 8; col. 15, lines 15-27; col. 16, lines 1-9);

receiving a brand identifier from the metadata server (col. 7, lines 45-60; col. 10, lines 23-27); and

displaying a representation of the brand identifier (col. 16, lines 34-50; col. 17, lines 5-12).

Houser does not specifically teach the object identifier is decoded from perceptible attributes of the image or audio signal yet being conveyed in the image or audio signal in a manner that does not cause perceptible changes to the image or audio signal. However, Gross the object identifier is decoded from perceptible attributes of the image or audio signal yet being conveyed in the image or audio signal in a manner that does not cause perceptible changes to the image or audio signal (col. 1, lines 45-58). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to include the teaching of Gross in the invention of Houser in order to provide the user with a system that can deter or prevent unauthorized copying of digital documents.

Per claim 15, Houser teaches the method of claim 14 wherein the object identifier is decoded from a watermark embedded in the media object (fig. 8; decryptor 820; col. 4, lines 3-10; col. 15, lines 19-20 and lines 61-67; col. 16, lines 52-67).

Per claim 16, Houser teaches the method of claim 14 wherein the media object is a video or an image, and the representation of the brand identifier is a graphic superimposed on a

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rendering of the video or image (col. 7, lines 45-60; col. 10, lines 23-27; col. 16, lines 34-50; col. 17, lines 5-13).

Per claim 17, Houser teaches the method of claim 16 wherein the graphic is a hot link to information or an action associated with the media object (col. 7, lines 45-60; col. 10, lines 23-27; col. 16, lines 34-50; col. 17, lines 5-13).

Per claim 18, Houser teaches the method of claim 17 wherein selecting the hot link causes retrieval of the information or action from a remote server (col. 8, lines 58-65; col. 9, lines 55-60).

Per claim 19, Houser teaches a method for extending a user interface of a media player comprising:

in response to input requesting playback of a media object, extracting an object identifier from the media object (fig. 8; decryptor 820; col. 11, lines 52-61; col. 15, lines 19-20 and lines 61-67);

using the object identifier to look up metadata associated with the media object (fig. 8; col. 15, lines 45-67);

extending a user interface of a media player to include a representation of the metadata associated with the media object (col. 16, lines 34-45; col. 15, lines 34-50).

Houser does not specifically teach the object identifier is decoded from perceptible attributes of the image or audio signal yet being conveyed in the image or audio signal in a manner that does not cause perceptible changes to the image or audio signal. However, Gross the object identifier is decoded from perceptible attributes of the image or audio signal yet being conveyed in the image or audio signal in a manner that does not cause perceptible changes to the

image or audio signal (col. 1, lines 45-58). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to include the teaching of Gross in the invention of Houser in order to provide the user with a system that can deter or prevent unauthorized copying of digital documents.

Per claim 20, Houser teaches the method of claim 19 wherein extracting the object identifier includes decoding the object identifier from a watermark embedded in the media object (fig. 8; col. 4, lines 3-10; col. 15, lines 19-20 and lines 61-67; The examiner considers a watermark as a security object being embedded in a electronic document, see col. 7, lines 30-43 and col. 12, lines 30-35).

Claims 11-13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Houser et al. ("Houser", U.S. Pat. No. 5,606,609), Gross et al. ("Gross", U.S. Pat. No. 4,972,471), and Huntsman (U.S. Pat. No. 5,801,689).

Per claim 11, the modified Houser teaches a browser on a computer readable medium, the browser comprising: a listener program for identifying a media object in a document ("Houser", fig. 8; interpreter module 250; col. 15, lines 25-27); and for inserting a handler into the document in response to determining an object identifier is computed perceptible attributes of the media object (col. 16, lines 34-50 and lines 52-67); wherein the handler is operable to display metadata linked via the object identifier in response to user input (col. 13, lines 35-50; col. 16, lines 34-50; col. 17, lines 5-12 and lines 33-48), but does not teach the browser is an internet browser having an HTML document, and the object identifier being computed from the perceptible attributes of the media object yet being conveyed in the media object in a manner that does not cause perceptible changes to the media object. However, Huntsman teaches an Internet browser

having an HTML document (col. 4, lines 1-20). Gross teaches the object identifier being computed from the perceptible attributes of the media object yet being conveyed in the media object in a manner that does not cause perceptible changes to the media object (col. 1, lines 45-58). Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to include the teaching of Huntsman in the invention of the modified Houser in order to provide a means for accessing the document information from a remote location and to provide the user with a system that can deter or prevent unauthorized copying of digital documents.

Per claim 12, Houser teaches the internet browser of claim 11 wherein the object identifier is decoded from a watermark embedded in the media object (fig. 8; decryptor 820; col. 15, lines 19-20 and lines 61-67).

Per claim 13, Houser teaches the internet browser of claim 11 wherein the metadata is retrieved from a metadata server by sending the object identifier to the metadata server (*fig. 8; col. 16, lines 34-50; col. 17, lines 5-12; the security object information is being forwarded to the verification processor 830, which performs verification processing, see col. 16, lines 1-9*).

Response to Arguments

Applicant's arguments with respect to the Amendment have been considered but are moot in view of the new ground(s) of rejection.

Inquiries

Any inquiry concerning this communication or earlier communications from the examiner should be directed to THANH T. VU whose telephone number is (571)272-4073. The examiner can normally be reached on Mon- Fri 7:00 AM - 3:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William L. Bashore can be reached on (571) 272-4088. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Thanh T. Vu/
Primary Examiner, Art Unit 2175